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1646  
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EXPRESS MAIL CERTIFICATE

Date 9/28/01 Label No. 4767718565US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

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07278

PATENT TRADEMARK OFFICE

Docket No: 0646/1D205-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ramesh A. BHAT; Ruth HENDERSON; Chulai HSIAO;  
Sotirios K. KARATHNASIS

Serial No.: 09/429,832

Art Unit: 1646

Filed: October 29, 1999

Examiner: N. Basi

For: NOVEL HUMAN ESTROGEN RECEPTOR-BETA

RESPONSE TO INFORMALITY RE PAYMENT OF FEE

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

September 28, 2001

Sir:

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TC 1700

This is in response to the Informality Re Payment of Fee mailed on August 31, 2001 in the above-identified application (copy attached). A Response to the Informality Re Payment of Fee was filed on September 14, 2001. Subsequent to the filing of the Response on September 14, 2001, it was discovered that the Informality Re Payment of Fee contained an error. The "Excess Payment" box on the form was

10/04/2001 BNGUYEN1 00000094 09429832

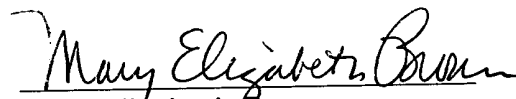
01 FC:103

90.00 OP

incorrectly checked. The "Fee Due" box should have been checked. The addition of claims to the Response To Official Action Under 37 C.F.R. §1.111 filed on August 22, 2001 brought the total number of claims in the application to 25. Therefore payment is due for five claims. A check for \$90.00 is attached herewith.

Thank you for your assistance in this fee reconciliation.

Respectfully submitted,

A handwritten signature in cursive script, reading "Mary Elizabeth Brown".

Mary Elizabeth Brown  
Reg. No. 46,579  
Attorney for Applicants

DARBY & DARBY, P.C.  
805 Third Avenue  
New York, N.Y. 10022  
Phone (212) 527-7700



PFF

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

R 0646/1D205-US

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	007278	FILING DATE	SEP 28 2001	FIRST NAMED APPLICANT	HM1170531	ATTORNEY DOCKET NO.	
DARBY & DARBY				805 THIRD AVENUE, 27TH FLR.		BASIN	
NEW YORK NY 10022							

Fee

DUE: September 30, 2001

Docketed on 9/5 by DP for

Docketed without file ☐

Attorney \_\_\_\_\_

EXAMINER 646	
08/31/01	
ART UNIT	PAPER NUMBER

DATE MAILED:

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OCT 10 2001  
TECH CENTER 1600/2900

INFORMALITY RE PAYMENT OF FEE

The informality regarding the payment of the fee in connection with the amendment filed \_\_\_\_\_ indicated below.

A. FEE DUE

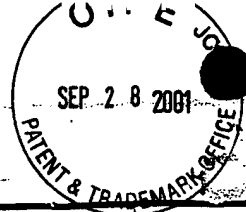
1. ☐ The amendment is considered incomplete in that the funds in Deposit Account No. \_\_\_\_\_ are insufficient to cover the entire fee due. The balance is due within the period set below.
2. ☐ The amendment is considered an incomplete response, in that payment of \$ \_\_\_\_\_ is insufficient to cover the claims as shown in the attached Patent Application Fee Determination Record. Remittance is due within the period set below.
3. ☐ The amendment has not been entered, since applicant has failed to remit (or authorize charge to a Deposit Account) the fee as indicated on the attached Patent Application Fee Determination Record. Remittance or authorization is due within the period set below.
4. ☐

APPLICANT IS GIVEN THE REMAINDER OF THE SET PERIOD FOR RESPONSE, OR ONE (1) MONTH FROM THE DATE OF THIS LETTER, WHICHEVER IS LONGER, WITHIN WHICH TO REMIT THE FEE OF \$ 90.00.

B. EXCESS PAYMENT:

5. ☒ It is noted that payment of \$ 90. is in excess of the amount necessary to cover the claims now in the application. See the attached Patent Application Fee Determination Record.

This matter of refund or credit to your account is being referred to the Finance Officer, for his consideration.



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**PATENT APPLICATION FEE DETERMINATION RECORD**  
Effective November 10, 1998

Application or Document Number **001-10-2001**  
TECH CENTER 1600/2900

**CLAIMS AS FILED - PART I**

(Column 1)		(Column 2)
FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	2 minus 20= *	
INDEPENDENT CLAIMS	4 minus 3 = *	1
MULTIPLE DEPENDENT CLAIM PRESENT		

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY TYPE <input type="checkbox"/>		OR	OTHER THAN SMALL ENTITY	
RATE	FEE		RATE	FEE
	380.00	OR		760.00
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	78
+130=		OR	+260=	
TOTAL		OR	TOTAL	838

**CLAIMS AS AMENDED - PART II**

AMENDMENT A	(Column 1)		(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	* 11	Minus ** 20	= 8
	Independent	* 4	Minus *** 4	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

AMENDMENT B	(Column 1)		(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	* 25	Minus ** 20	= 5
	Ind pendent	* 4	Minus *** 4	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	90
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	890

AMENDMENT C	(Column 1)		(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus **	=
	Ind pendent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."  
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.